



10-17-03

1625 \$

PATENT

Attorney Docket No. 217950

Former Attorney Docket No. 08852-0122(43743-265376)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Venkataraman

Application No. 10/056,805

Filed: January 25, 2002

For: TANNATE COMPOSITIONS AND
METHODS OF TREATMENT

Group Art Unit: 1625

Examiner: Paul J. Killos

RECEIVED

OCT 22 2003

TECH CENTER 1600/2900

INFORMATION DISCLOSURE STATEMENT

Mail Stop
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

10/21/2003 MROCHA1 00000006 121216 10056805

01 FC:1806

100.00 DA

Pursuant to 37 CFR 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 and/or Substitute Form PTO-1449 ("Form 1449") are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form 1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form 1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is being filed:

- ☐ **within** any one of the following time periods: (a) within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); (b) within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 of an international application; (c) before the mailing date

of a first Office Action on the merits; or (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

- ☒ **after** (a), (b), (c) or (d) above, but before the mailing date of a final action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and includes *one* of:

☐ the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below).

or

☒ the fee of \$180 set forth in 37 CFR 1.17(p) (see "Fees" below).

- ☐ **after** the mailing date of a final action under 37 CFR 1.113 or a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and on or before payment of the issue fee, and includes the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).

- ☐ **after** the mailing date of a Notice of Allowance under 37 CFR 1.311, and on or before payment of the issue fee, and **within** thirty days of receiving each item of information contained in the Information Disclosure Statement, and includes the Statement under 37 CFR 1.704(d) (see "Statement under 37 CFR 1.704(d)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).

NOTE: This is for original applications except applications for a design patent, filed on or after May 29, 2000, wherein a paper containing only an Information Disclosure Statement in compliance with 37 CFR 1.97 and 1.98 is being filed.

Copies of the References

- ☒ Copies of the references listed on the enclosed Form 1449 are enclosed herewith. Attached to each reference not in the English language is a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3). An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3).

- ☐ A copy of the foreign search report is enclosed herewith.

- ☐ The references listed on the enclosed Form 1449 were previously identified in the parent application(s) of the present application, and copies of the references were furnished at that time. Accordingly, additional copies of the references are not submitted herewith, so as not to burden the file with duplicate copies of references. The Examiner is respectfully requested to carefully review the references in accordance with the requirements set out in the Manual of Patent Examining Procedure. In accordance with 37 CFR 1.98(d), the details of the parent application(s) relied upon for an earlier filing date under 35 USC 120 in which copies of the references were previously furnished are set out below:

U.S. APPLICATIONS		Status (<i>check one</i>)		
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1.				
2.				
3.				

Statement under 37 CFR 1.97(e)

- ☐ The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ The **undersigned** hereby states that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Statement under 37 CFR 1.704(d)

- ☐ The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Fees

- ☐ No fee is owed by the applicant(s).
- ☒ The **IDS Fee of \$180** under 37 CFR 1.17(p) is enclosed herewith.

Method of Payment of Fees

- ☐ Attached is a check in the amount of \$.
- ☒ Charge Deposit Account No. 12-1216 in the amount of \$180.00. (A duplicate copy of this communication is enclosed for that purpose.)

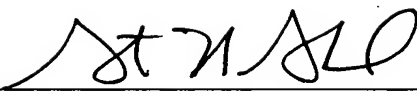
Authorization to Charge Additional Fees

- ☒ If any additional fees are owed in connection with this communication, please charge Deposit Account No. 12-1216. (A duplicate copy of this communication is enclosed for that purpose.)

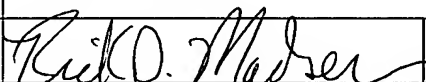
In re Appln. of Venkataraman
Application No. 10/056,805

Instructions as to Overpayment

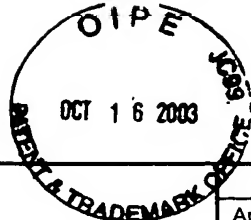
- ☒ Credit Account No. 12-1216.
☐ Refund


Steven H. Sklar, Reg. No. 42,154
LEYDIG, VOIT & MAYER, LTD.
Two Prudential Plaza, Suite 4900
180 North Stetson
Chicago, Illinois 60601-6780
(312) 616-5600 (telephone)
(312) 616-5700 (facsimile)

Date: October 16, 2003

<i>CERTIFICATE OF MAILING UNDER 37 CFR 1.10</i>			
I hereby certify that this Information Disclosure Statement and all accompanying documents are being deposited with the United States Postal Service on October 16, 2003, in an envelope as "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR 1.10, Mailing Label Number EV 336874919 US, addressed to: Mail Stop, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
<i>Name (Print/Type)</i>	Rick D. Madsen		
<i>Signature</i>		<i>Date</i>	October 16, 2003

Please type a plus sign (+) inside this box →



Substitute for form 1449A/B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

C m p l t e i f K n w n

Sheet 1 of 2

Application Number 10/056,805
Filing Date January 25, 2002
First Named Inventor Venkataraman
Group Art Unit 1625
Examiner Name Killos, Paul J.
Attorney Docket Number 217950

RECEIVED

OCT 22 2003

TECH CENTER 1600/2900

U.S. PATENT DOCUMENTS

Examiner Initials	Doc. No.	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication	Filing Date If Appropriate
		Application or Patent Number	Kind Code			
	A T	4,260,596	A	Mackles	April 7, 1981	
	A S	4,443,428	A	Oshlack et al.	April 17, 1984	
	A U	4,552,899	A	Sunshine et al.	November 12, 1985	
	A V	4,777,050	A	Vadino	October 11, 1988	
	A W	4,788,055	A	Fischer et al.	November 29, 1988	
	A X	4,800,087	A	Mehta	January 24, 1989	
	A Y	4,840,962	A	Sunshine et al.	June 20, 1989	
	A Z	4,888,354	A	Chang et al.	December 19, 1989	
	B A	4,920,149	A	Sunshine et al.	April 24, 1990	
	B B	4,952,402	A	Sparks et al.	August 28, 1990	
	B C	5,075,114	A	Roche	December 24, 1991	
	B D	5,169,638	A	Dennis et al.	December 8, 1992	
	B E	5,431,916	A	White	July 11, 1995	
	B F	5,458,879	A	Singh et al.	October 17, 1995	
	B G	5,489,436	A	Hoy et al.	February 6, 1996	
	B H	5,529,783	A	Burke et al.	June 25, 1996	
	B I	5,558,879	A	Chen et al.	September 24, 1996	
	B J	5,674,522	A	Shah et al.	October 7, 1997	
	B K	5,739,176	A	Dunn et al.	April 14, 1998	
	B L	5,756,118	A	Deckner et al.	May 26, 1998	
	B M	5,807,579	A	Vilkov et al.	September 15, 1998	
	B N	5,827,852	A	Russell et al.	October 27, 1998	
	B O	5,840,337	A	Cody et al.	November 24, 1998	
	B P	5,876,759	A	Gowan, Jr.	March 2, 1999	
	B Q	5,881,926	A	Ross	March 16, 1999	
	B R	5,912,007	A	Pan et al.	June 15, 1999	
	B S	5,916,590	A	Cody et al.	June 29, 1999	
	B T	5,919,481	A	Cody et al.	July 6, 1999	
	B U	6,024,980	A	Hoy	February 15, 2000	
	B V	6,027,746	A	Lech	February 22, 2000	
	B W	6,071,523	A	Mehta et al.	June 6, 2000	
	B Y	6,102,254	A	Ross	August 15, 2000	
	B X	6,153,173	A	Sapsford et al.	November 28, 2000	
	B Z	6,258,381	B1	Luber et al.	July 10, 2001	
	C A	6,270,790	B1	Robinson et al.	August 7, 2001	
	C B	6,277,409	B1	Luber et al.	August 21, 2001	
	C C	6,383,471	B1	Chen et al.	May 7, 2002	
	C D	6,391,886	B1	Lee	May 21, 2002	
	C E	6,399,079	B1	Mehta et al.	June 4, 2002	
	C F	6,417,206	B1	Leflein et al.	July 9, 2002	

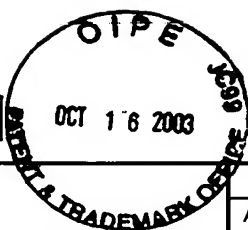
Examiner Signature

Date Considered

* A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).

+ An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).

+



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number	10/056,805
Filing Date	January 25, 2002
First Named Inventor	Venkataraman
Group Art Unit	1625
Examiner Name	Killos, Paul J.
Attorney Docket Number	217950

RECEIVED

OCT 22 2003

TECH CENTER 1600/2900

Sheet	2	of	2
-------	---	----	---

[illegible]

Date Considered

- * A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).
+ An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).